

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Bruce Block et al.                      Art Unit:  
Serial No.: Unassigned                              Examiner:  
Filed : September 8, 2003  
Title : OPTICAL WAVEGUIDE DEVICES HAVING ADJUSTABLE  
            WAVEGUIDE CLADDING

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants call attention to the attached Information  
Disclosure Statement and documents listed on form PTO-1449.

This filing is being made before the receipt of a first  
Office action on the merits. No fee is required.

Consideration of the foregoing and enclosures plus the  
return of a copy of the enclosed form PTO-1449 with the  
Examiner's initials in the left column per MPEP 609 are  
earnestly solicited along with an early action on the merits.

CERTIFICATE OF MAILING BY EXPRESS MAIL

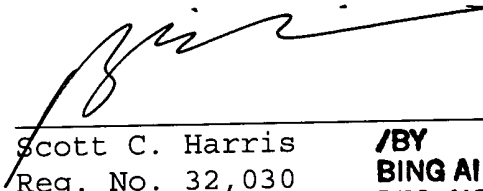
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Respectfully submitted,

Date: September 8, 2003

  
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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 10559-859001	Application No.
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Bruce Block et al.	
		Filing Date September 8, 2003	Group Art Unit

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	D. Jäger, et al.; Millimeter-Wave Photonic Devices for Optical Links: Trends and Applications; December 1998; Asia-Pacific Microwave Conference
	AR	Sang-Shin Lee, et al.; Optical Intensity Modulator Based on a Novel Electrooptic Polymer Incorporating a High $\mu\beta$ Chromophore; May 2000; IEEE JOURNAL OF QUANTUM ELECTRONICS, Vol. 36, No. 5
	AS	
	AT	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	